TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371

Attorney Docket No 8007-1106

INTERNATIONAL APPLN. NO.	INTERNATIONAL FILING
PCT/JP2004/011043	August 2, 200
TITLE OF INVENTION: RARE EARTH M	ETAL COMPLEX, MATERIAL F

DATE August 25, 2003 OR THIN FILM FORMATION, AND PROCESS OF FORMING THIN FILM APPLICANT(S) FOR DO/EO/US: Atsuya YOSHINAKA, Hiroki SATO and Ryusaku FUJIMOTO Applicant herewith submits to the United States Designated Elected Office (DO/EO/US) the following items and other information: 1. X This is a FIRST submission of items concerning a filing under 35 U.S.C. 371. This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371. 3. This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. 4. The US has been elected (Article 31). 5. A copy of the International Application as filed (35 U.S.C. 371 (c)(2)) a. is attached hereto (required only if not communicated by the International Bureau). b. 🗵 has been communicated by the International Bureau. See attached PCT/IB/308. c. [is not required, as the application was filed in the United States Receiving Office (RO/US). 6. An English language translation of the International Application as filed (35 U.S.C. 371 (c)(2)) a. X is attached hereto. b. has been previously submitted under 35 U.S.C. 154(d)(4). 7. Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3)) a. \square are attached hereto (required only if not communicated by the International Bureau). b. \square have been communicated by the International Bureau. c. have not been made, however, the time limit for making such amendments has NOT expired. d. have not been made and will not be made. 8. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371 (c)(3)). 9. An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)) 10. A Declaration of Inventorship for purposes of U.S.A. designation pursuant to rule 4.17(iv).)) 11. An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). Items 12 to 23 below concern document(s) or information included: 12. ☒ Information Disclosure Statement (IDS) w/PTO-1449 - ☒ Copy of IDS citations. - ☒ PCT/ISA/210 International Search Report included 13. Assignment Papers (cover sheet & document(s)). 14. A preliminary amendment. 15. An Application Data Sheet under 37 C.F.R. 1.76. 16. X Itemized Return Receipt Postcard 17. X Abstract 18. A substitute specification. 19. Power of Attorney and Statement under 37 CFR §3.73(b) b. A change of Power of Attorney and/or change of address letter. 20. A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 - 1.825. 21. A second copy of the published international application under 35 U.S.C. 154(d)(4). 22. A second copy of the English language translation of the international application (35 U.S.C. 154(d)(4)). 23. Other items or information: PCT/IB/304

				ATTORNE 8007-110	NEY DOCKET NO.		
Applicant claims small entity status. See 37 CFR 1.27.			CALCULATIONS PTO USE ONLY				
☑ The following fees are submitted:					71000	LONE	
PCT FEES - NATIONAL STAGE					•		
	Fee Desc	<u>cription</u>					
Basic National Stage Fee				\$300.00			
Non ISA/US Search Provided	Non ISA/US Search Provided National Stage Search Fee				\$400.00		
US was the IPEA And all claims satisfied National Stage Examination Fee the provisions of PCT Article 33 (1)-(4)				\$200.00			
Surcharge of \$130.00 for furnishing the oath or declaration later than ☐ 20- ☒ 30 \$0.00 months from the earliest claimed priority date (Fee Code 1617/2617)							
National Stage Application		Additional Shee	te	Fee From Below			
size fee each additional 50 sheets in excess of 100	- 100		x	\$125.00	\$0.00		
Fee Code 1681/2681		50					
CLAIMS		NUMBER FILED	NUMBER EXTRA	RATE	_		
Independent Claims Fee Code	s 1614 / 2614	1 - 3 =	0	x \$100.00	\$0.00		
Total Claims Fee Code	es 1615 / 2615	7 - 20 =	0	x \$25.00	\$0.00		
MULTIPLE DEPENDENT CLAIM(S) (if applicable) Fee Code 1616 / 2616 + \$360.00				\$0.00			
Processing fee of \$130.00 for furnishing the English translation later than \(\bigcup 20 \) \(\bigcup 30 \) months from the earliest claimed priority date (37 CFR 1.492(f)). Fee Code 1618 \(\bigcup 0.00 \)							
Fee for recording the enclosed assignment (37 CFR 1.21(h)). (Fee code 8021) \$40.00 per property +					\$40.00		
TOTAL FEES ENCLOSED =			\$ 940.00				
				Amount to be refunded:	\$		
					Charged:	\$	
A check in the amount of \$ 940.00 to cover the above fees is attached. The Director is hereby authorized to charge indicated fees and credit any overpayment to Deposit Account No. 25-0120 in the name of Young & Thompson. A duplicate copy of this sheet is enclosed.							
The Director is hereby authorized in this, concurrent, and future replies, to charge payment or credit any overpayment to Deposit Account No. 25-0120 for any additional fee required under 37 C.F.R. §§ 1.16 or 1.17.							
SEND ALL CORRESPONDED YOUNG & THOMPSON 745 South 23 rd Street Arlington, VA 22202	NCE TO:		SIGN	NATURE	Caster		
Telephone: (703) 521-2297 Facsimile: (703) 685-0573	703) 521-2297 Benoit Castel, Reg. No. 35,041						
Y&T Customer No. 00466	;			uary 16, 200)6	· .	
BC/ <u>ia</u>			DAT	E			